

													No Response or Insufficient response
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	Individual(s)	GP or Fund Name	Amt Rec'd by Individual &/or Broker	Broker or Company	Sub-Agent	Department	Vintage or Allocation Year	Amount of Commitment	Fee Terms				
1	Alan Pardee	Lightyear II	not disclosed	Merrill Lynch	none disclosed	PEN	2005	\$ 60,000,000	not disclosed				
2	Alfred Jackson	Austin Horizon	\$ 61,875	Berean	none disclosed	CSF	2008	\$ 150,000,000	33% OF MGT/PERFORM FEE, BUT IN NO EVENT SHALL FEE EXCEED 50 BPS PER YEAR OF AMOUNT INVESTED BY NMSIC. Fees Paid through 12/31/08 is approximately \$61,875				
3	Alfred Jackson	Austin	\$ 2,100,000	Berean	none disclosed	HF	2005/2008	\$ 200,000,000	33% OF MGT/PERFORM FEE, BUT IN NO EVENT SHALL FEE EXCEED 50 BPS PER YEAR OF AMOUNT INVESTED BY NMSIC. Fee Paid through 12/31/08 is approximately \$2,100,000				
4	Alfred Jackson	Camden III	\$ 1,250,000	Inroads Group	none disclosed	PEN	2004	\$ 20,000,000	buyout by GP				
5	Alfred Jackson	Camden IV		Inroads Group	none disclosed	PEN	Not yet closed	\$ 25,000,000	30% of the Adjusted Management Fee				
6	Chad Schultz Bob Rivett John Robertshaw		unknown - \$3.3MM on entire fundraising		none disclosed	PEN	2002	\$ 20,000,000					
7	Ray Cosman	Thomas Mc Nerrey	unknown - \$5.5MM on entire fundraising	CSFB	none disclosed	PEN	2001	\$ 25,000,000					
8	Dale Meyer	KRG Capital II		JP Morgan	none disclosed	PEN	2001	\$ 25,000,000					
9	John Littlefield	Catterton VI	\$ 250,000	Praetorian	none disclosed	PEN	2006	\$ 25,000,000	1% of Capital Commitment				
10	Dan Hevesi	Halvard II	\$ 600,000	Park Hill	none disclosed	PEN	2007	\$ 30,000,000	2% of Capital Commitment				
11	Dan Prendergast												
10	Dan Weinstein	Levine Leichtman DV	\$ 250,000	Wetherly	Ajax	PEN	2005	\$ 25,000,000	2% of Capital Commitment split 50/50				
11	Dan Weinstein	Levine Leichtman III	\$ 350,000	Wetherly	Ajax	PEN	2003	\$ 35,000,000	2% of Capital Commitment split 50/50				



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25	Henry "Hank" Morris	Carllye Mezz	\$ 150,000	Searle	none disclosed	PEN	2004	\$ 20,000,000	0.75% of Capital Commitment										
26	Henry "Hank" Morris	Quadrangle II	not disclosed	Searle	Monument	PEN	2005	\$ 20,000,000	not disclosed										
27	Jamir Couch	Illinois PEFFund	\$ 228,301	Knowles Hall Consulting & Keith Spears	none disclosed	PEN	2005	\$ 20,000,000											
28	Jane Morris	AmperSand 2001	unknown	Veritage Group	none	PEN	2001	\$ 20,000,000	1.5% of Capital Commitment										
29	Todd Stevens	Wasatch Venture Fund III, LLC	\$ 108,750	Rowe Capital Partners	none disclosed	PENM	2001	\$ 8,700,000	BASE QTRLY SALARY-\$25,000, COMMISSION = 1ST THROUGH 4TH YRS 35%, 5TH YR 25%, AFTER 15%										
30	John Deluna	Denali Advisors	Unknown	Deluna Partners	none disclosed	PEQ	2007	\$ 20,000,000	verbal agreement										
31	Julio Ramirez	St. Cloud II	\$ 400,000			PEN	2007	\$ 20,000,000											
32	Julio Ramirez Dan Prendergast	Wayzata	\$ 442,500	Park Hill	Possible Affiliates	PEN	2005	\$ 25,000,000	1.77% of Capital Commitment										
33	Julio Ramirez Marc Correia	KH Growth (Vicente Capital)	\$ 500,000	Cabrera	none disclosed	PEN	2007	\$ 25,000,000	2% of Capital Commitment										
34	Marc Correia	STONE TOWER	\$ 132,608	Ajax		CSF		\$ 100,000,000	20% of all fees \$132,608 as of end of 1st Qtr '09										
35	Marc Correia	VANDERBILT FINANCIAL TRUST	unknown at this time	SDN Advisors		CSF		\$ 50,000,000	unknown at this time										
36	Marc Correia	Explorer	\$ 1,000,000	Cabrera	none disclosed	HF	2005	\$ 100,000,000	20% OF MGT FEES FOR AS LONGS AS INVESTOR Estimated Fees paid are at least \$1,000,000 (20% x (\$100,000,000 x 1.5%))										
37	Marc Correia	HFV	\$ 900,000	Cabrera	none disclosed	HF	2005	\$ 100,000,000	UP TO \$250MM - 25% OF ALL FEES, OVER \$250MM - 27.5% Fees Paid are approximately \$900,000 assuming same deal as TAG										





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88	no response	Wellspring III				PEN	2002	\$ 20,000,000	
89	no response	ClearBridge/Citigroup				PEQ			
90	no response	Credit Suisse Securities (USA), LLC				PEQ			
91	no response	Davis Hamilton Jackson				PEQ			
92	no response	First Quadrant				PEQ			
93	no response	Paladin Realty Latin America Investors II, LP				RE	2006	\$ 25,000,000	
94	no response	ARIA				CSF		\$ 15,000,000	
95	no response	BABSON				CSF		\$ 20,000,000	
96	no response	CHAMPLAIN				CSF		\$ 25,000,000	
97	no response	VANDERBILT FORT DEARBORN				CSF		\$ 27,632,747	
98	no response	GREAK LAKES I				CSF		\$ 29,057,320	
99	no response	GREAK LAKES II				CSF		\$ 40,000,000	
100	no response	VANDERBILT LAKESIDE II				CSF		\$ 27,600,000	
101	no response	VANDERBILT MONROE HARBOR				CSF	2005	\$ 11,399,753	
102	no response	NAVIGATOR				CSF	2004	\$ 15,000,000	
103	no response	SHERWOOD FUND				CSF		\$ 19,532,200	
104	no response	VANDERBILT SKY RIVER				CSF		\$ 21,375,000	
105	no response	VANDERBILT STREETERVILLE				CSF		\$ 25,000,000	
106	no response	VANDERBILT TUDOR PLACE				CSF		\$ 25,000,000	
107	no response	Altair				HF	2005/2006	\$ 50,000,000	
108	no response	Benchmark Plus				HF	2005	\$ 100,000,000	
109	no response	CT Preferred				HF	2005	\$ 50,000,000	
110	no response	Entrust Capital				HF	2005	\$ 25,000,000	
111	no response	Guggenheim				HF	2009	\$ 100,000,000	
112	no response	K2 Advisors				HF	2005	\$ 50,000,000	
113	no response	K2 Alternate				HF	2006	\$ 50,000,000	
114	no response	Mariner Matador				HF	2008	\$ 106,405,000	
115	no response	Alta Comm IX				PEN		\$ 20,000,000	
116	no response	Alta Comm VIII				PEN		\$ 8,000,000	
117	no response	Apax VI				PEN	2005	\$ 32,320,000	
118	no response	Apax VII				PEN	2007	\$ 43,917,000	
119	no response	Apex IV				PEN		\$ 10,000,000	
120	no response	Apex V				PEN		\$ 15,000,000	

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121	none	Arch IV	\$ -			PEN		\$ 10,000,000	
122	none	Arch IV A	\$ -			PEN		\$ 1,000,000	
123	none	Arch V	\$ -			PEN		\$ 10,000,000	
124	none	Avenue III	\$ -			PEN		\$ 25,000,000	
125	none	BCI Growth V	\$ -			PEN		\$ 10,000,000	
126	none	Bridgepoint III	\$ -			PEN	2005	\$ 35,358,000	
127	none	Bridgepoint IV	\$ -			PEN	2007	\$ 46,551,000	
128	none	C/R E&P III	\$ -			PEN	2005	\$ 20,000,000	
129	none	C/R Renew I	\$ -			PEN	2005	\$ 20,000,000	
130	none	Carlyle Mexico	\$ -			PEN		\$ 25,000,000	
131	none	Carlyle Mezzanine II	\$ -			PEN	2008	\$ 30,000,000	
132	none	Carpenter Bancfund	\$ -			PEN	2008	\$ 25,000,000	
133	none	Charterhouse VII	\$ -			PEN		\$ 20,542,000	
134	none	CHL Medical II	\$ -			PEN		\$ 15,000,000	
135	none	Cinven III	\$ -			PEN		\$ 15,041,250	
136	none	Cinven IV	\$ -			PEN	2006	\$ 38,871,000	
137	none	Clarity Partners	\$ -			PEN	2000	\$ 10,000,000	
138	none	Coller Intl IV	\$ -			PEN	2002	\$ 30,000,000	
139	none	Craton I	\$ -			PEN	2006	\$ 19,076,922	
140	none	CVC Europe III	\$ -			PEN	2001	\$ 10,000,000	
141	none	Cypress MB II	\$ -			PEN	1999	\$ 10,000,000	
142	none	Falcon Mezz II	\$ -			PEN	2005	\$ 20,000,000	
143	none	Falcon Mezz III	\$ -			PEN	2008		
144	none	First Reserve IX	\$ -			PEN	2001	\$ 10,000,000	
145	none	Green V	\$ -			PEN	2006	\$ 30,000,000	
146	none	Harvest IV	\$ -			PEN	2001	\$ 15,000,000	
147	none	Landmark XI	\$ -			PEN	2002	\$ 20,000,000	
148	none	Landmark XIV	\$ -			PEN	Not yet closed		
149	none	NGN Biomed I	\$ -			PEN	2004	\$ 20,000,000	
150	none	NGP ETP II	\$ -			PEN	2008	\$ 30,000,000	
151	none	Oak Hill Capital	\$ -			PEN	1999	\$ 10,000,000	
152	none	Oak Investment IX	\$ -			PEN	1999	\$ 10,000,000	
153	none	Oak Investment X	\$ -			PEN	2001	\$ 15,000,000	
154	none	Pharos	\$ -			PEN	2004	\$ 20,000,000	
155	none	Prism IV	\$ -			PEN	2001	\$ 15,000,000	
156	none	RedShift II	\$ -			PEN	1998	\$ 10,000,000	

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157	none	Sequel II	\$ -			PEN	1999	\$ 10,000,000	
158	none	Sequel III	\$ -			PEN	2000	\$ 17,500,000	
159	none	TA IX	\$ -			PEN	2000	\$ 10,000,000	
160	none	TA Sub Debt	\$ -			PEN	2000	\$ 10,000,000	
161	none	TA VIII	\$ -			PEN	1997	\$ 1,250,000	
162	none	TH Lee V	\$ -			PEN	2000	\$ 15,000,000	
163	none	TH Lee VI	\$ -			PEN	2006	\$ 30,000,000	
164	none	VSS Comm III	\$ -			PEN	1998	\$ 10,000,000	
165	none	VSS Comm IV	\$ -			PEN	2004	\$ 20,000,000	
166	none	VSS Structured II	\$ -			PEN	PEIAC Only		
167	none	Warburg Pincus VIII	\$ -			PEN	2001	\$ 15,000,000	
168	none	WCAS IX	\$ -			PEN	2000	\$ 15,000,000	
169	none	WCAS VIII	\$ -			PEN	1998	\$ 10,000,000	
170	none	WCAS X	\$ -			PEN	2005	\$ 25,000,000	
171	none	WCAS XI	\$ -			PEN	2008	\$ 35,000,000	
172	none	Williams Capital	\$ -			PEN	2004	\$ 20,000,000	
173	none	ARCH Venture Fund II, L.P.	\$ -			PENM		\$ 1,222,222	
174	none	ARCH Venture Fund III, L.P.	\$ -			PENM		\$ 4,000,000	
175	none	Blue Sage Capital, L.P.	\$ -			PENM		\$ 10,000,000	
176	none	CVM Equity Fund V	\$ -			PENM	1998	\$ 3,000,000	
177	none	Epic Venture Fund IV, LLC	\$ -			PENM	2008	\$ 6,400,000	
178	none	Flywheel I, L.P.	\$ -			PENM	2004	\$ 15,000,000	
179	none	International Venture Fund I, L.P.	\$ -			PENM	2000	\$ 5,000,000	
180	none	ITU Ventures New Mexico, L.P.	\$ -			PENM	2005	\$ 15,000,000	
181	none	ITU Ventures West I, L.P.	\$ -			PENM	2003	\$ 15,000,000	
182	none	Murphree Venture Partners IV, L.P.	\$ -			PENM	1998	\$ 4,000,000	
183	none	Murphree Venture Partners V, L.P.	\$ -			PENM	2000	\$ 3,000,000	
184	none	NMUSIC Co-Investment Fund, L.P. (Classic)	\$ -			PENM	2007	\$ 30,000,000	
185	none	NMUSIC Co-Investment Fund, L.P. (Focused)	\$ -			PENM	2007	\$ 60,000,000	
186	none	Psilos Group Partners IIIB, L.P.	\$ -			PENM	2005	\$ 15,000,000	

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187	none	Red River Ventures, L.P.	\$ -			PENM	2000	\$ 7,166,667	
188	none	Tullis/Dickerson Capital Focus II, L.P.	\$ -			PENM	1998	\$ 15,000,000	
189	none	Tullis/Dickerson Capital Focus III, L.P.	\$ -			PENM	2001	\$ 15,000,000	
190	none	Valley Ventures II, L.P.	\$ -			PENM	1998	\$ 5,000,000	
191	none	Valley Ventures III, L.P.	\$ -			PENM	2002	\$ 6,658,988	
192	none	Verge I, L.P.	\$ -			PENM	2004	\$ 10,000,000	
193	none	Vestor Partners, L.P.	\$ -			PENM	1998	\$ 5,000,000	
194	none	Village Ventures Fund II-B, L.P.	\$ -			PENM	2006	\$ 15,000,000	
195	none	vSpring II, L.P.	\$ -			PENM	2003	\$ 20,000,000	
196	none	Wasatch New Mexico Fund, LLC	\$ -			PENM	2004	\$ 12,000,000	
197	none	Alliance Bernstein	\$ -			PEQ			
198	none	Carret	\$ -			PEQ			
199	none	Earnest Partners	\$ -			PEQ			
200	none	Fox Asset Management	\$ -			PEQ			
201	none	Lombardia Capital Partners	\$ -			PEQ			
202	none	Simmus Global Investors	\$ -			PEQ			
203	none	UNM	\$ -			PEQ			
204	none	AG Core Plus Realty Fund II, L.P.	\$ -			RE	2007	\$ 50,000,000	
205	none	AG Core Plus Realty Fund, L.P.	\$ -			RE	2004	\$ 50,000,000	
206	none	Angelo Gordon Realty Fund VII, L.P.	\$ -			RE	2007	\$ 75,000,000	
207	none	Beal SIC Holding, LLC	\$ -			RE	2006	\$ 22,500,000	
208	none	CBA Investment Holdings, LLC	\$ -			RE	2006	\$ 80,000,000	
209	none	Dilweg SIC, LLC	\$ -			RE	2005	\$ 23,750,000	
210	none	Five Arrows Realty Securities IV, L.P.	\$ -			RE	2005	\$ 61,670,000	
211	none	TVO	\$ -			RE	2004	\$ 31,000,000	
212	none	Landmark Real Estate Fund V, L.P.	\$ -			RE	2005	\$ 75,000,000	
213	none	NorthStar SIC Holding LLC	\$ -			RE	2006	\$ 90,250,000	



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230	William R. Howell	GSC Recovery III	\$ 450,000	William R. Howell Pension Enhancement Consulting & CSFB	Later transferred to Monroe Street	PEN	2005	\$ 30,000,000	1.5% of Capital Commitment			
231	William R. Howell	InterMedia VII	\$ 150,000	William R. Howell Pension Enhancement Consulting & CSFB	none disclosed	PEN	2005	\$ 30,000,000	Howell got \$125,000 & CSFB got 1.25% of Commitment			
232		Claws 1A A				CSF		\$ 29,012,356				
233		CRTS 2004-4				CSF		\$ 19,436,751				
234		Zenith				CSF		\$ 20,000,000				
235		Opera Structured Credit				CSF		\$ 49,750,000				

